

# The Registered Reports project: A vaccine against research bias?

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### Science has an incentive problem



High quality research, regardless of outcome

What's best for scientists

Producing a lot of publishable results

see Nosek, Spies & Motyl (2012). Perspectives on Psychological Science, 7(6): 615–631



# Why is this happening?

Because we place too much importance on the **results** of experiments and not enough on the **processes** that produce them

Results make science exciting but judging the quality of science (and scientists) according to the results is "soft" science

# Can we fix this? Yes

#### **Philosophy:**

What gives hypothesis-testing its scientific value is

- the QUESTION it asks
- the QUALITY of the method it uses
- never the RESULT it produces

If we accept this philosophy then editorial decisions at journals should be *blind* to results



The first principle is that you must not fool yourself – and you are the easiest person to fool. - Richard Feynman

# This is not a new idea

Robert Rosenthal (1966). Experimenter effects in behavioral research. New York.

"What we need is a system for evaluating research <u>based only on the procedures</u> <u>employed.</u> If the procedures are judged appropriate, sensible, and sufficiently rigorous to permit conclusions from the results, the <u>research cannot then be judged inconclusive on</u> <u>the basis of the results and rejected</u> by the referees or editors. Whether the procedures were adequate would be judged independently of the outcome."

# **Registered Reports**

CORTEX 49 (2013) 609-610



Available online at www.sciencedirect.com

SciVerse ScienceDirect

Journal homepage: www.elsevier.com/locate/cortex



Editorial

# Registered Reports: A new publishing initiative at Cortex

#### Christopher D. Chambers

Cardiff University Brain Research Imaging Centre (CUBRIC), School of Psychology, Cardiff University, United Kingdom

#### Four central aspects of the Registered Reports model:

- Researchers decide hypotheses, experimental procedures, and main analyses *before* data collection
- Part of the peer review process takes place before experiments are conducted
- Passing this stage of review virtually guarantees publication
- Original studies and high-value replications are welcome

# How it works

Authors submit **STAGE 1** manuscript with Introduction, Proposed Methods & Analyses, and Pilot Data (if applicable)



Are the hypotheses well founded?

Are the methods and proposed analyses feasible and sufficiently detailed?

*Is the study well powered? (≥90%)* 

Have the authors included sufficient positive controls to confirm that the study will provide a fair test?

# How it works



Manuscript published!

Did positive controls succeed?

Are the conclusions justified by the data?

## None of these things matter



## Published examples at *Cortex*

#### **Registered** report

The effects of AMPA blockade on the spectral profile of human early visual cortex recordings studied with non-invasive MEG

Suresh D. Muthukumaraswamy <sup>a,b,\*</sup>, Bethany Routley <sup>c</sup>, Wouter Droog <sup>d</sup>, Krish D. Singh <sup>c</sup> and Khalid Hamandi <sup>c,e</sup>

**Registered report** 

The functional subdivision of the visual brain: Is there a real illusion effect on action? A multi-lab replication study

Karl K. Kopiske <sup>a,f,\*</sup>, Nicola Bruno <sup>b</sup>, Constanze Hesse <sup>c</sup>, Thomas Schenk <sup>d</sup> and Volker H. Franz <sup>a,e</sup>

Registered report

Mu suppression – A good measure of the human mirror neuron system?

- Reproducible -

- detailed, repeatable methods
- high statistical power (2-3x above normal)

#### - Transparent -

- accompanied by open data & materials
- outcomes of confirmatory and exploratory analyses distinguished
- Credible -
- no publication bias
- no hindsight bias
- no selective reporting

Hannah M. Hobson<sup>\*</sup> and Dorothy V.M. Bishop

http://www.journals.elsevier.com/cortex/virtual-special-issues/virtual-special-issue-registered-reports

See also:

Social Psychology special issue: <u>http://econtent.hogrefe.com/toc/zsp/45/3</u> Perspectives on Psychological Science: <u>http://www.psychologicalscience.org/index.php/replication/ongoing-projects</u>

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# Trust in science would be improved by study pre-registration

**Open letter:** We must encourage scientific journals to accept studies before the results are in

Chris Chambers, Marcus Munafo and more than 80 signatories theguardian.com, Wednesday 5 June 2013 12.45 BST

Jump to comments (43)



The quest: a better understanding of nature. Photograph: Sebastian Kaulitzki/Alamy

In an ideal world, scientific discoveries would be independent of what scientists *wanted* to discover. A good researcher would begin with an idea, devise a method to test the idea, run the study as planned, and then decide based on the evidence whether the idea had been supported. Following this approach would lead us step-by-step toward a better understanding of nature.

Unfortunately, the life sciences are becoming increasingly estranged from this way of thinking. Early in their training, students learn that the quest for truth needs to be balanced against the more immediate pressure to

#### Permanent adopters

Advances in Methodologies and Practices in Psychol	ogical Science				
AIMS Neuroscience					
Animal Behavior and Cognition					
Attention, Perception, and Psychophysics					
Behavioral Neuroscience					
Cognition and Emotion					
Cognitive Research: Principles and Implications		Special issues			
Comprehensive Results in Social Psychology	Ame	rican Journal of Political Science			
Cortex	And	ical journal of Folitical Science			
Drug and Alcohol Dependence	Amer	rican Political Science Review			
European Journal of Neuroscience	Amer	rican Politics Research			
Experimental Psychology	Com	parative Political Studies			
Health Psychology Bulletin	-116-				
Human Movement Science	eLife				
Infancy	Front	rontiers in Cognition			
International Journal of Psychophysiology					
Journal of Business and Psychology	Journ	al of Accounting Research			
Journal of Cognitive Enhancement	Politi	cal Analysis			
Journal of European Psychology Students	Politi	cal Behavior			
Journal of Experimental Political Science					
Journal of Media Psychology	Politi	cal Science Quarterly			
Journal of Personnel Psychology	Politi	cal Science Research and Method			
Judgment and Decision Making	Publi	c Opinion Quarterly			
Management and Organization Review	Socia				
Memory	30018	ii e sychology			
Nature Human Behaviour	State	Politics and Policy Quarterly			
NFS Journal	The F	Review of Financial Studies			
Nicotine & Tobacco Research					
Perspectives on Psychological Science					
Royal Society Open Science					
Stress and Health					
The Leadership Quarterly					
Work, Aging and Retirement					

#### For full list see <a href="https://cos.io/rr/">https://cos.io/rr/</a>

#### Registered Reports at Royal Society Open Science

Now available in all STEM areas, from physics to psychology



http://rsos.royalsocietypublishing.org/content/registered-reports

#### **Registered Reports at Nature Human Behaviour**



Anthropology	Evolution
Artificial Intelligence	Genetics
Business Studies	Geography
Cognitive Science	Linguistics
Communication	Management
Criminology	Neurology
Cultural Studies	Neuroscience
Ecology	Political Science
Economics	Psychiatry
Education	Psychology
Epidemiology	Public Policy
Ethology	Sociology

What are the benefits for journals, editors, authors and the scientific community?





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- 2. Logically eliminates various forms researcher bias (*p*-hacking, *post hoc* hypothesising)



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Frequently asked questions

#### 1. "Are Registered Reports suitable for my field?"

- Applicable to any field engaged in <u>hypothesis-driven</u> research where one or more of the following problems apply:
  - Publication bias
  - Significance chasing (e.g. *p*-hacking)
  - Post hoc hypothesizing (hindsight bias)
  - Low statistical power
  - Lack of direct replication
- Not applicable for
  - Purely exploratory science
  - Methods development

No hypothesis testing

# 2. "Could researchers cheat by 'pre-registering' a study that they have already conducted?"

- Time-stamped raw data files must be submitted at Stage 2 with basic lab log and certification from all authors that data was collected <u>after</u> provisional acceptance
- Submitting a completed study at Stage 1 would therefore be fraud
- Strategy would backfire anyway when reviewers ask for amendments at Stage 1 Registered Reports aren't designed to prevent fraud but to incentivize good practice

# 3. "What's to stop Registered Reports from becoming a dumping ground for inconclusive null results?"

- *a priori* power requirements (≥90%) increase reproducibility of all findings
- Bayesian inferential methods welcomed for providing evidence in favour of H0 or H1.

### 4. "Pre-registration is fine for senior researchers who have 'made it' but I'm a junior scientist and need to play the game"

- The game is changing: journal policies are changing to value transparency and reproducibility, e.g. *Nature Human Behaviour* has launched RRs
- Going for post doc jobs, what you do think will look better on your CV?
  A) Bunch of papers listed as "in preparation", "submitted"
  - B) Bunch of papers listed as "provisionally accepted at [respected journal]"

#### 5. "Will this limit exploration or stigmatize exploratory research?"

- No. The are no restrictions on the reporting of unregistered exploratory analyses.
- Confirmatory and exploratory analyses are simply reported separately in the final paper

What stigmatizes exploratory research is *post hoc hypothesizing* to fit a deductive framework

Exploratory research is simply not valued in its native form

- Exploratory Reports at Cortex (in development)
  - no hypothesis testing
  - no *p* values
  - Data-led; light on introduction and theory
  - Purpose is to generate hypotheses rather than test them

# 6. "What happens if we need to change something about our experimental procedures after they are provisionally accepted?"

- Minor changes (e.g. replacing equipment) can be footnoted in Stage 2 manuscript as protocol deviations
- Major changes (e.g. changing data exclusion criteria) are likely to require withdrawal
- Editorial team decides whether deviation is sufficiently minor to continue

7. "Some of my analyses will depend on the results, so how can I preregister each step in detail?" (e.g. type of statistical model)

- Pre-registration doesn't require each decision to be specified, only the decision tree
- Authors can pre-register the contingencies / rules for future decisions

8. "I have access to an existing data set that I haven't yet analysed. Can I submit this proposed analysis as a Registered Report?"

• Not at *Cortex*, but other journals offer this, such as *European Journal of Neuroscience*...

	Comparison of Re	eaistered Repo	orts 🐨 🖿													champ	arsc i@cardin.ac.uk	ć
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1			Return to	o Registered	Reports Wik	i on OSF												
2	Journal	1. Includes pre-study peer review	2. Offers provisional pre-study acceptance	3. Permanence of adoption	4. Offered for novel studies	5. Offered for replication studies	6. Offered for meta-analysis	7. Offered for analyses of existing data sets	8. Publishes Registered Reports only	9. Allows reporting of unregistered analyses	10. Includes post-study peer review	11. Allows inclusion of unregistered pilot studies	12. Requires public data deposition	13. Specifies structured criteria for editorial decisions	14. Requires submitted protocols to have prior ethical approval	15. Specifies minimum statistical power requirements	16. Will publish 'Withdrawn Registrations'	17 p f pr c
3		JOURNALS OFF	ERING REGISTE	ERED REPORTS														
4	AIMS Neuroscience	✓	✓	Indefinite	1	1				1	✓	1	1	1	1	1	1	
5	Attention, Perception & Psychophysics	1	~	Indefinite	1	1					~	1	1	1	1	~		
6	Cognition & Emotion	√	1	Indefinite		1				1	1	4	1	1		√	1	
7	Comparative Political Studies	~	4	Special issue only	1	1	1	4		4	~		1					
8	Comprehensive Results in Social Psychology	4	4	Indefinite	ТВА	TBA	TBA	TBA	1	TBA	TBA	TBA	ТВА	тва	TBA	TBA	ТВА	
9	Cortex	1	√	Indefinite	1	1				1	✓	1	1	1	1	1	1	
10	Drug and Alcohol Dependence	1	~	Indefinite	1	4				~	~	4	1	1	1	~	1	
11	eLife	~	1	Special Issue RP:CB only		1				1	~		1	1	1	~		
12	Experimental Psychology	1	1	Indefinite	1	1				1	✓	1	1	1				
13	Frontiers in Cognition (a)	✓	√	Special issue only	1					√	✓	1	1	1	1	√	1	
14	Frontiers in Cognition (b)		1	Special issue only		1				1	1	1	1					_
15	Journal of Business and Psychology	~	~	12-month initial trial	1		~	1		1	~	1						
16	Journal of Media Psychology	*	4	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	тва	TBA	ТВА	TBA	
17	NFS Journal	√	1	TBA	1	1	TBA	TBA		1	1	TBA	TBA	TBA	1	✓	TBA	_
18	Perspectives on Psychological Science	4	~	Indefinite		1				~			1	1				
19	Social Psychology	TBA	TBA	Indefinite	✓	1	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	TBA	_
20	Working, Aging and Retirement	1	~	Indefinite	тва	ТВА	тва	тва	тва	ТВА	тва	ТВА	ТВА	тва	ТВА	ТВА	ТВА	
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22	Communication Methods and Measures			Special Issue only		4					~							
23	Journal of Experimental Psychology: General	~		Indefinite		4					~							
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https://docs.google.com/spreadsheets/d/1D4\_k-8C\_UENTRtbPzXfhjEyu3BfLxdOsn9j-otrO870/edit#gid=0

#### 9. "How will Registered Reports incentivize replication studies?"

- Conspiracy of circumstances tells us not to bother doing direct (exact) replications
  - Method sections are often too vague to allow precise replication
  - Chronic lack of power in novel research means that replications often require very large samples sizes
  - Attempting to exactly repeat a previous experiment can be seen (in psychology) as an act of aggression (cf. physics)
  - Motivated reasoning by reviewers can impede publication
  - Most psych/neuro journals want novelty and see replications as (usually) unpublishable
- RRs: have proposed replication experiment reviewed and provisionally accepted *before* you invest substantial resources into doing it; potentially involve original authors in peer review of the protocol; **motivated reasoning is prevented**

# 10. "Reviewers could steal my ideas at the pre-registration stage and scoop me"

- Only a handful of people know about each Stage 1 submission
- Once Stage 1 protocol is accepted, the journal can't reject your paper because something similar was published (novelty is irrelevant)
- Manuscript received date on published RR will be the date of Stage 1 submission
- How different from grant applications, conference presentations, seminars?

# 11. "Registered Reports seems limited to single studies. But our papers usually include sequences of experiments"

- We welcome sequential registrations in which authors add studies iteratively at Stage 1 via a fast-track mechanism and complete them at Stage 2
  - With each completed cycle, the previous accepted version of the paper is guaranteed to be published
- Authors can also include a sequence of unregistered experiments as preliminary studies in a Stage 1 RR (e.g. E1, E2, E3 preliminary; manuscript proposes E4 as pre-registered test)

# Two things we've learned as editors

#### 1. Reviewers sometimes shift the goalposts once data are in

#### Case study:

- After multiple rounds of review, a reviewer approved protocol at Stage 1
- When results failed to confirm reviewer's expectations at Stage 2, reviewer raised new methodological objections & attempted to reject

<u>EDITORAL DECISION</u>: Reviewer was overruled. Barring extreme cases where all parties (authors, reviewers, editors) agree that a critical flaw was overlooked, objections to Stage 1 methods are ineligible at Stage 2. Limitations instead covered in Discussion.

#### Case study:

- After multiple rounds of review, a reviewer approved protocol at Stage 1
- When results were statistically non-significant, reviewer demanded that authors conduct a long list of *post hoc* analyses to "find something"

<u>EDITORAL DECISION</u>: Post hoc analyses can only be required if deemed necessary to support author's conclusions. Author invited to consider extra analyses but not required to do them. Reviewer invited to conduct analyses using open data and publish a separate comment piece.

## Two things we've learned as editors

#### 2. Lack of positive controls in psychology and cognitive neuroscience

#### **STAGE 1 CRITERION 6**

Whether the authors have considered sufficient outcome-neutral conditions for ensuring that the results obtained are able to test the stated hypotheses

#### **STAGE 2 CRITERION 1**

Whether the data are able to test the authors' proposed hypotheses by passing the approved outcome-neutral criteria

- But few initial submissions propose such tests
- Many fields *have* no such tests

Prevailing assumption: a study is said to have "worked" if the main hypothesis was supported (p<.05). Circular reasoning: study quality should not be confounded with study outcome.

## **Going even further...**

Can we integrate clinical trial registration (where it applies), ethical review, grant funding and Registered Reports?

- Possible solution: Registered Reports funding model
- Authors submit their research proposal *before* they have funding.
- Following simultaneous review by the both the funder and the journal, the strongest proposals would be offered financial support by the funder AND in-principle acceptance for publication by the journal.



#### **Registered Reports**

Peer review before results are known to align scientific values and practices

Registered Reports
Participating Journals
Details and Workflow
Resources for Editors
Funders
FAQ
News

Transparency, open sharing, and reproducibility are core values of science, but not always part of daily practice. Registered Reports are a publication format that emphasize the importance of the research question and the quality of methodology by conducting the peer review prior to data collection and analysis. Accepted papers then are virtually guaranteed publication in the journal if the authors follow through with the registered methodology.



"Registered Reports eliminates the bias against negative results in publishing because the results are not known at the time of review" said Daniel Simons, Professor at University of Illinois, Urbana-Champaign and co-Editor of Registered Replication Reports at Perspectives on Psychological Science. Chris Chambers, Professor at Cardiff University, section editor at Cortex and Royal Society Open Science, and chair of the Registered Reports Committee supported by the Center for Open Science (COS) adds, "Because the study is accepted in advance, the incentives for authors change from producing the most beautiful story to producing the most accurate one."

Two articles provide an introduction to the Registered Reports concept: one is an introduction to a special issue of 15 Registered Reports in

#### https://cos.io/rr/

- Detailed FAQs
- Table comparing journal features

For more info, email me (chambersc1@cardiff.ac.uk) or David Mellor at the COS (david@cos.io)